

PATENT APPLICATION

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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete If Known | |
| | | | | Application Number | 10/755,141 |
| | | | | Filing Date | January 8, 2004 |
| | | | | First Named Inventor | David Kapilow et al. |
| | | | | Group Art Unit | 2626 |
| | | | | Examiner Name | V. Chawan |
| Sheet | 1 | of | 1 | Attorney Docket Number | 2002-0311 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
| N.C./ | 1 | 6539354 | | Sutton et al. | 03-25-2003 | |
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| N.C./ | 5 | 5642466 | | Narayan | 06-24-1997 | |
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| N.C./ | 10 | 4214125 | | Mozer et al. | 07-22-1980 | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T* |
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| Examiner Signature | | Nijay Chawan/ | | | Date Considered | 08/16/2007 | | |

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| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
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| Examiner Initials* | Cite No. * | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T [†] |
| N.C./ | 1 | Jongho Shin, Shrikanth Narayanan, Laurie Gerber, Abe Kazemzadeh, Dani Byrd, "Analysis of User Behavior under Error Conditions in Spoken Dialogs", University of Southern California - Integrated Media Systems Center | <input type="checkbox"/> |
| N.C./ | 2 | Egbert Ammicht, Allen Gorin, Tirso Alonso, 'Knowledge Collection For Natural Language Spoken Dialog Systems', AT&T Laboratories | <input type="checkbox"/> |
| N.C./ | 3 | Paul C. Constantinides, Alexander I. Rudnicky, "Dialog Analysis In the Carnegie Mellon Communicator", School of Computer Science, Carnegie Mellon University | <input type="checkbox"/> |
| N.C./ | 4 | Malte Gabsdil, "Classifying Recognition Results for Spoken Dialog Systems", Department of Computational Linguistics, Saarland University, Germany | <input type="checkbox"/> |
| N.C./ | 5 | Shrikanth Narayanan, "Towards Modeling User Behavior in Human-Machine Interactions: Effect of Errors and Emotions", University of Southern California - Integrated Media Systems Center, ISLE Workshop on Multimodal Dialog Tagging, December 2002 | <input type="checkbox"/> |
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